



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Koji Yamaya, et al.

Examiner: Unassigned

Serial No: 10/721,518

Art Unit: Unassigned

Filed: November 25, 2003

Docket: 17280

For: ENDOSCOPE APPARATUS

Dated: December 16, 2003

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Utility Model Application Publication No. 51-53789, dated April 24, 1976, together with English-language Abstract; and
2. Japanese Unexamined Patent Application Publication No. 2001-212078, dated August 7, 2001, together with English-language Abstract.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 16, 2003.

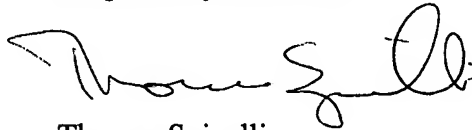
Dated: December 16, 2003


Thomas Spinelli

Applicants are submitting copies of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,


A handwritten signature in black ink, appearing to read 'Thomas Spinelli', written in a cursive style.

Thomas Spinelli

Registration No.: 39,533

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TS:dg

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		Atty. Docket No. (Optional) 17280	Application Number 10/721,518				
		Applicant(s) Koji Yamaya, et al.					
		Filing Date November 25, 2003	Group Art Unit Unassigned				
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
		51-53789	4/24/76	Japan			
		2001-212078	8/7/01	Japan			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17280

In Re Application Of: **Koji Yamaya, et al.**

Serial No.

10/721,518

Filing Date

November 25, 2003

Examiner

Unassigned

Group Art Unit

Unassigned

Title:

ENDOSCOPE APPARATUS



Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17280

In Re Application: **Koji Yamaya, et al.**

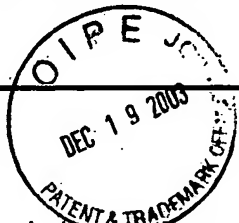
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Examiner
Unassigned

Group Art Unit
Unassigned

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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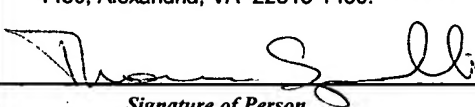
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Thomas Spinelli
Typed or Printed Name of Person

***This certificate may only be used if paying by deposit account.**


Signature

Dated: **December 16, 2003**

Thomas Spinelli
Registration No. 39,533

TS:dg

cc: